

Enhanced Due Diligence Form

Enhanced Due Diligence Form for Individual Customers/Corporate Customers

詳盡客戶查證程序 - 個人客戶/公司客戶

Must be completed by Account Executive 必須由客戶主任填寫

Name 客戶姓名/Corporate Name
公司客戶名::

Account Executive Name:
客戶主任姓名

Customer Account Number 客戶編號:

Account Executive Code.:
職員編號:

Identification Number 身份證明編號:

Principle shareholder/directors/authorized
signatories 股東/董事/授權簽署人

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Part A - PEP
甲部 - 政界人士

To be completed for PEP customers only by Credit and Risk Control Officer 如客戶屬政界人士，信貸及風險控制主任需填寫本章節。

1. Name of PEP (if not the account holder):

政界人士名稱 (如非戶口持有人)

Relationship of the PEP with the account holder (if applicable):

戶口持有人與該政界人士之關係 (如適用)

Country that the PEP holds position:

政界人士擔任有關職位之國家

Position held by the PEP:

政界人士之職位

Period that the PEP has held the position:

政界人士擔任有關職位之年數

2. Any particular concerns over the country where the PEP is from:

政界人士所屬國家有否引起任何特別關注

3. Any expected receipts of large sum of money from governmental bodies of state-owned entities:

預計會否收到來自政府機構或國資企業的大額款項

4. Source of wealth described as commission earned on government contracts:

財富來源有否被描述為就政府合約所賺取的佣金

5. Any request by PEP to associate any form of secrecy with a transaction:

政界人士有否要求就交易作出任何形式的保密安排

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Part B - Final Assessment 乙部 - 最終評估
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To be completed for all PEP customers 信貸及風險控制主任需為所有政界人士客戶填寫本章節

Final assessment of money laundering risk for the subject customer:
 有關客戶之清洗黑錢風險最終評估

 Medium 中

 High 高

Comment of Responsible Officer
 負責人員意見

Comment of Legal and Compliance Department
 法務及監察部負責人意見

	Signature 簽署	Date 日期
Account Executive 客戶主任		
Responsible Officer 負責人員		
Legal and Compliance Department 法務及監察部負責人		