

Account Opening Method

開立賬戶方法

Obtain copies of the following supporting documents

備妥下列證明文件副本乙份:

1. Government issued ID(s) with photo or passport(s) of all account holders; and
由政府發出附有相片的證件或護照 (所有帳戶持有人); 及
2. Copy of a bank statement or utility bill for the last three-month time for proof of residential address (P.O. boxes are not acceptable.); and
最近3個月的住址證明(如公用服務單據或信用卡帳單) 請注意, 郵政信箱是不被接受的; 及
3. Proof of Hong Kong bank account with client's name and bank account number. If your designated bank account is outside Hong Kong, copy of a bank account statement within the last 3 months.
香港銀行帳戶證明文件(包含帳戶持有人全名及帳戶號碼), 若指定銀行為香港以外銀行帳戶, 請提供最近 3個月的銀行結單副本。)

Submit the Account Opening Form and supporting documents to Eddid Securities and Futures Limited ("ESFL"). You can:

將開戶表及其他所需證明文件交回艾德證券期貨有限公司(「艾德證券期貨」), 您可以:

IN PERSON 親臨開戶	Bring with you the Account Opening Form, and copies of all supporting documents to ESFL for certification (signing and sighting) by an ESFL employee. 攜同開戶表及所需文件副本親臨艾德證券期貨辦事處, 於艾德證券期貨僱員面前簽署及見證有關文件。
BY POST 郵遞開戶	Bring with you the Account Opening Form and copies of all supporting documents for certification (signing and sighting) by a professional third party [^] . Mail the certified Account Opening Form and copies of all supporting documents to us: Eddid Securities and Futures Limited Customer Service Team 21/F, Citic Tower, 1 Tim Mei Avenue, Central, Hong Kong [^] A Justice of the Peace, or a professional person such as certified public accountant, lawyer or notary public practicing in Hong Kong or an equivalent jurisdiction. <i>To waive the certification required please send in a personal cheque payable to "Eddid Securities and Futures Limited" of at least HK\$10,000 drawn upon an account in the name of the applicant with a licensed bank in Hong Kong. The signature on the personal cheque must match the signature on the account opening form. The account will be activated only after the cheque is cleared.</i> 攜同開戶表及所需文件的副本, 由專業第三者 [^] 認證, 並於上述人士面前簽立及見證, 然後寄回已認證的開戶表及所需文件至: 艾德證券期貨有限公司 客戶服務部 香港中環添美道 1 號 中信大廈 21 樓 [^] 太平紳士或專業人士(例如在香港或對等司法管轄區的執業會計師、律師或公證人) <i>如欲豁免上述認證需要, 申請人可遞交 10,000 港元或以上由其名下香港持牌銀行戶口發出的個人支票。個人支票抬頭為 "Eddid Securities and Futures Limited"。個人支票的簽名必須與開戶表上的簽名相同。賬戶將在支票兌現後生效。</i>

Eddid Securities and Futures Limited is licensed to conduct Type 1 (dealing in securities), Type 2 (Dealing in Futures Contracts), Type 3 (Leveraged Foreign Exchange Trading), Type 4 (advising on securities), Type 5 (Advising on Futures Contracts) and Type 9 (Asset Management) regulated activities under the Securities and Futures Ordinance (CE No. BHT550).

艾德證券期貨有限公司根據《證券及期貨條例》獲許進行第 1 類 (證券交易)、第 2 類 (期貨合約交易)、第 3 類 (槓桿式外匯交易)、第 4 類 (就證券提供意見)、第 5 類 (就期貨合約提供意見) 及第 9 類 (資產管理) 受規管活動(中央編號 BHT550)。

Please attach:

- Copy of Primary (and Joint) Client's ID card or passport
 Copy of a bank statement or utility bill within the last 3 months for proof of residential address.
 Proof of Hong Kong bank account with client's name and bank account number. If your designated bank account is outside Hong Kong, copy of a bank account statement within the last 3 months.

請附上:

- 個人(及聯名)賬戶持有人的身份證或護照副本。
 最近 3 個月的銀行結單或公共服務單據副本作為提供住址證明。
 香港銀行賬戶證明文件(包含賬戶持有人全名及賬戶號碼), 若指定銀行為香港以外之銀行賬戶, 請提供最近 3 個月的銀行結單副本。

Please complete this form in BLOCK LETTER and check one only for items with "*" 請以正楷填寫本表格並於 "*" 項目選擇其一

Under the HKIDR, client should provide Type of Identity Document in this order of priority: (1) HKID card; (2) national identification document; (3) passport);
根據香港投資者識別碼制度, 身分證明文件類別請按以下優先次序排第: (1)香港身份證; (2)國民身分證明文件; (3)護照

A1. Application For*申請賬戶為*		A2. Account Type* 賬戶類別*	
<input type="checkbox"/> Individual Account 個人賬戶 <input type="checkbox"/> Joint Account 聯名賬戶		Eddid Securities and Futures Limited ("ESFL") 艾德證券期貨有限公司(「艾德證券期貨」) <input type="checkbox"/> Hong Kong and Global Securities Account (Cash) 香港及環球證券賬戶(現金) <input type="checkbox"/> Hong Kong and Global Securities Account (Margin) 香港及環球證券賬戶(保證金) <input type="checkbox"/> Hong Kong and Global Futures Account (Margin) 香港及環球期貨賬戶(保證金) <input type="checkbox"/> Leveraged Foreign Exchange Account (Margin) 槓桿式外匯賬戶(保證金)	
A3. Account Opening Method* 開戶方法*			
Face-to-face 親身開戶 <input type="checkbox"/> IN PERSON 親臨開戶		Non-face-to-face 非親身開戶 <input type="checkbox"/> Certified by other persons 由其他人進行驗證 <input type="checkbox"/> Electronic Signature Certificates No 電子簽名證書號碼: _____ <input type="checkbox"/> Mail approach - HK\$10,000 OR above cheque certification 郵遞 - 以 10,000 港元或以上個人支票認證 <input type="checkbox"/> Online onboarding of clients using a designated bank account in Hong Kong 透過使用指定香港銀行帳戶在網上與客戶建立業務關係 <input type="checkbox"/> Remote onboarding of overseas individual clients 透過遙距程序與海外個人客戶建立業務關係	
B. Primary Account Holder Information 主要賬戶持有人資料			
Title 稱謂	<input type="checkbox"/> Mr.先生 <input type="checkbox"/> Mrs.太太 <input type="checkbox"/> Miss 小姐		
Last Name (Surname)英文姓氏	First Name 英文名字	Chinese Name 中文姓名	
If you have changed your legal name, please provide: 如閣下曾經更改法定姓名, 請提供			
Former English Name 曾用英文姓名		Former Chinese Name 曾用中文姓名	
ID Card No. / Passport No. 身份證或護照號碼	Country of Issue 簽發國家		
Nationality 國籍	Type of Identity Document 身份證件類別		
Place of Birth 出生地點	Date of Birth (mm/dd/yy) 出生日期 (月/日/年)		
Email Address (for communication and delivery of electronic statements) 電郵(用於通訊及發送電子結單)	Tel No. (for communication) 電話號碼(用於通訊)		
Residential Address (P.O. Box is not acceptable) 住宅地址(不接受郵政信箱)			
Mailing address (if different from Residential Address) 郵寄地址(如與住宅地址不同)			
C. Primary Account Holder Employment Details 主要賬戶持有人就業資料			
Employment Status 就業情況	<input type="checkbox"/> Employed 就業 <input type="checkbox"/> Self Employed 自僱 <input type="checkbox"/> Retired 退休 <input type="checkbox"/> Unemployed 無業 <input type="checkbox"/> Others 其他 _____		
Name of Current Employer 目前公司名稱	Business Address 辦公室地址		
Nature of Business 業務性質	Occupation 職位	Year of employed 受僱年期	Business phone No. 辦公室電話

D. Primary Account Holder Financial and Other Details 主要賬戶持有人財務及其他資料
D1. Your financial information 客戶財務資料:

● Total annual income (HKD) 客戶全年總收入為(港元)

< \$200,000 \$200,001-\$500,000 \$500,001-\$1,000,000* >\$1,000,001*

* Please specify the Source(s) of fund 請註明客戶的資金來源: _____

● Total net worth (HKD) 客戶資產淨值(港元):

< \$1,000,000 \$1,000,001-\$3,000,000 \$3,000,001-\$8,000,000# >\$8,000,001#

Please specify the source(s) of wealth 請註明客戶的財富來源: _____

● Source(s) of fund & wealth for trading? (Check all apply) 客戶交易的資金及財富來源 (選擇所有適用)

Saving 儲蓄 Employment 就業薪金 Self-Employment business 自營業務收益

Pension 退休金 Investment 投資 Others 其他(please specify 請註明): _____

D2. Your investment experience and investment products 客戶投資經驗及曾買賣產品:

Securities 證券 <1 year 少於一年 1-5 years 一至五年 6-10 years 六至十年 >10 years 多於十年

CBBC 牛熊證 <1 year 少於一年 1-5 years 一至五年 6-10 years 六至十年 >10 years 多於十年

Warrants 衍生權證(窩輪) <1 year 少於一年 1-5 years 一至五年 6-10 years 六至十年 >10 years 多於十年

Futures 期貨 <1 year 少於一年 1-5 years 一至五年 6-10 years 六至十年 >10 years 多於十年

Options 期權 <1 year 少於一年 1-5 years 一至五年 6-10 years 六至十年 >10 years 多於十年

Foreign Exchange 外匯 <1 year 少於一年 1-5 years 一至五年 6-10 years 六至十年 >10 years 多於十年

Others 其他: _____ <1 year 少於一年 1-5 years 一至五年 6-10 years 六至十年 >10 years 多於十年

D3. Your Knowledge of Derivative Products 客戶對衍生產品的認識

If you have knowledge on derivative products, please answer the following questions. 假若您對衍生產品有認識, 請回答以下問題。

● Has the Client ever undergone training or attended courses on derivative products with general knowledge of the nature and risks of derivatives? 客戶是否曾接受有關衍生產品性質和風險的一般知識培訓或修讀相關課程?

YES 是

NO 否

● Has the Client current or previous work experience related to derivative products? 客戶是否從現時或過去擁有與衍生產品有關的工作經驗?

YES 是

NO 否

● Has the Client executed five or more transactions within the past three years in derivative products, e.g. Derivative Warrants, Callable Bull/Bear Contracts, Stock Options, Futures and Options, Commodities, Structured Products and Exchange Traded Funds, etc.? 客戶是否於過去3年曾執行5次或以上有關衍生產品的交易, 例如: 衍生權證、牛熊證、股票期權、期貨及期權、商品、結構性產品及交易所買賣基金等?

YES 是

NO 否

D4. Have you ever declared bankrupt or served with a bankruptcy petition? 客戶是否曾經宣告破產或被申請破產?

No 沒有

Yes 有, Date of Bankruptcy 破產日期 _____

+Please provide the Certificate of Discharge 請提供破產解除證明書

D5. Is the Client employed by or related to someone who is employed by ESFL?

客戶是否艾德證券期貨的僱員或任何其僱員的親屬?

No 否

Yes 是, Name and Relationship of ESFL's employee 艾德證券期貨僱員名稱及關係 _____

D6. Is the Client related to any ESFL's client(s)? 客戶是否與任何艾德證券期貨客戶有關連?

No 否

Yes 是, Name of ESFL's client 艾德證券期貨客戶名稱 _____

D7. Is the Client a director or an employee of the Securities and Futures Commission ("SFC") licensed entity, or an accredited person of any exchange participant of the Hong Kong Exchange or any licensed or registered person of SFC?

客戶是否香港交易所之交易所參與者或證監會之持牌人或註冊人之董事、僱員或認可人士?

No 否

Yes 是, the Client is a director or an employee or an accredited person of the following exchange participant of the Hong Kong Exchange or licensed or registered person of the Securities and Futures Commission: (Please provide details) 客戶為以下香港交易所之交易所參與者或證監會之持牌人或註冊人之董事、僱員或認可人士: (請詳述)

+Please provide employer's consent letter 請提供僱主同意書

D8. Are you U.S. citizenship or lawful permanent resident status? 客戶是否擁有美國公民或美國合法永久居民身份?

No 否

Yes 是, Taxpayer Identification No. (T.I.N) 稅務編號: _____

D9. Are you a US resident for tax purpose? 就稅務而言, 您是否美國居民?

No 否

Yes 是, Taxpayer Identification No. (T.I.N) 稅務編號: _____

D10. Is the Client a "Politically Exposed Person (PEP)" or related to PEP as defined by the Hong Kong Law?

客戶是否香港法律定義下的“政治公眾人物 (PEP)”或與政治公眾人物有密切聯繫?

No 否

Yes 是, Position/ Name of PEP 職位/政治公眾人物名稱 _____

D11. Your investment objective? (Check all apply) 客戶的投資目標是 (選擇所有適用):

Speculation 投機 Hedging 對沖 Capital growth 資產增值 Interest/Dividend Income 利息/股息收入

D12. Your risk tolerance level 客戶的風險承受能力是:

High 高 Medium 中 Low 低

E. Joint Account Holder Information 聯名賬戶持有人資料 (For Joint Account application only 僅供聯名賬戶申請)

Relationship with Primary Account Holder 與主要賬戶持有人的關係:

Immediate Family 直系親屬 Please specify 請註明: _____

Relatives 親屬 Friends 朋友 Others 其他: _____

Title 稱謂 Mr.先生 Mrs.太太 Miss小姐

Last Name (Surname) 英文姓氏 First Name 英文名字 Chinese Name 中文姓名

If you have changed your legal name, please provide: 如閣下曾經更改法定姓名, 請提供:

Former English Name 曾用英文姓名

Former Chinese Name 曾用中文姓名

ID Card No. / Passport No. 身份證或護照號碼

Country of Issue 簽發國家

Nationality 國籍

Type of Identity Document 身份證件類別

Place of Birth 出生地點

Date of Birth (mm/dd/yy) 出生日期 (月/日/年)

Email Address (for communication and delivery of electronic statements) 電郵(用於通訊及發送電子結單)

Tel No. (for communication) 電話號碼(用於通訊)

Residential Address (P.O. Box is not acceptable) 住宅地址 (不接受郵政信箱)

Mailing address (if different from Residential Address) 郵寄地址(如與住宅地址不同);

F. Joint Account Holder Employment Details 聯名賬戶持有人就業資料			
Employment Status 就業情況	<input type="checkbox"/> Employed 就業	<input type="checkbox"/> Self Employed 自僱	<input type="checkbox"/> Retired 退休
	<input type="checkbox"/> Unemployed 無業	<input type="checkbox"/> Others 其他_____	
Name of Current Employer 目前公司名稱	Business Address 辦公室地址		
Nature of Business 業務性質	Occupation 職位	Year of employed 受僱年期	Business phone No. 辦公室電話
G. Joint Account Holder Financial and Other Details 聯名賬戶持有人財務及其他資料			
G1. Your financial information 客戶財務資料:			
● Total annual income (HKD) 客戶全年總收入為(港元):			
<input type="checkbox"/> < \$200,000 <input type="checkbox"/> \$200,001-\$500,000 <input type="checkbox"/> HK\$500,001-HK\$1,000,000 <input type="checkbox"/> >\$1,000,001			
* Please specify the Source(s) of fund 請註明客戶的資金來源: _____			
● Total net worth (HKD) 客戶資產淨值(港元):			
<input type="checkbox"/> < \$1,000,000 <input type="checkbox"/> \$1,000,001-\$3,000,000 <input type="checkbox"/> HK\$3,000,001-HK\$8,000,000# <input type="checkbox"/> >\$8,000,001#			
# Please specify the source(s) of wealth 請註明客戶的財富來源: _____			
● Source(s) of fund/ wealth for trading? (Check all apply) 客戶交易的資金/財富來源 (選擇所有適用)			
<input type="checkbox"/> Saving 儲蓄 <input type="checkbox"/> Employment 就業薪金 <input type="checkbox"/> Self-Employment business 自營業務收益			
<input type="checkbox"/> Pension 退休金 <input type="checkbox"/> Investment 投資 <input type="checkbox"/> Others 其他(please specify 請註明): _____			
G2. Your investment experience and investment products 客戶投資經驗及曾買賣產品:			
<input type="checkbox"/> Securities 證券	<input type="checkbox"/> <1 year 少於一年	<input type="checkbox"/> 1-5 years 一至五年	<input type="checkbox"/> 6-10 years 六至十年 <input type="checkbox"/> >10 years 多於十年
<input type="checkbox"/> CBBC 牛熊證	<input type="checkbox"/> <1 year 少於一年	<input type="checkbox"/> 1-5 years 一至五年	<input type="checkbox"/> 6-10 years 六至十年 <input type="checkbox"/> >10 years 多於十年
<input type="checkbox"/> Warrants 衍生權證(窩輪)	<input type="checkbox"/> <1 year 少於一年	<input type="checkbox"/> 1-5 years 一至五年	<input type="checkbox"/> 6-10 years 六至十年 <input type="checkbox"/> >10 years 多於十年
<input type="checkbox"/> Futures 期貨	<input type="checkbox"/> <1 year 少於一年	<input type="checkbox"/> 1-5 years 一至五年	<input type="checkbox"/> 6-10 years 六至十年 <input type="checkbox"/> >10 years 多於十年
<input type="checkbox"/> Options 期權	<input type="checkbox"/> <1 year 少於一年	<input type="checkbox"/> 1-5 years 一至五年	<input type="checkbox"/> 6-10 years 六至十年 <input type="checkbox"/> >10 years 多於十年
<input type="checkbox"/> Foreign Exchange 外匯	<input type="checkbox"/> <1 year 少於一年	<input type="checkbox"/> 1-5 years 一至五年	<input type="checkbox"/> 6-10 years 六至十年 <input type="checkbox"/> >10 years 多於十年
<input type="checkbox"/> Others 其他:_____	<input type="checkbox"/> <1 year 少於一年	<input type="checkbox"/> 1-5 years 一至五年	<input type="checkbox"/> 6-10 years 六至十年 <input type="checkbox"/> >10 years 多於十年
G3. Your Knowledge of Derivative Products 客戶對衍生產品的認識	YES 是	NO 否	
If you have knowledge on derivative products, please answer the following questions. 假若客戶對衍生產品有認識, 請回答以下問題。			
● Has the Client ever undergone training or attended courses on derivative products with general knowledge of the nature and risks of derivatives? 客戶是否曾接受有關衍生產品性質和風險的一般知識培訓或修讀相關課程?	<input type="checkbox"/>	<input type="checkbox"/>	
● Has the Client current or previous work experience related to derivative products? 客戶是否從現時或過去擁有與衍生產品有關的工作經驗?	<input type="checkbox"/>	<input type="checkbox"/>	
● Has the Client executed five or more transactions within the past three years in derivative products, e.g. Derivative Warrants, Callable Bull/Bear Contracts, Stock Options, Futures and Options, Commodities, Structured Products and Exchange Traded Funds, etc.? 客戶是否於過去3年曾執行5次或以上有關衍生產品的交易, 例如: 衍生權證、牛熊證、股票期權、期貨及期權、商品、結構性產品及交易所買賣基金等?	<input type="checkbox"/>	<input type="checkbox"/>	
G4. Have you ever declared bankruptcy or served with a bankruptcy petition? 客戶是否曾經宣告破產或被申請破產?			
<input type="checkbox"/> No 沒有			
<input type="checkbox"/> Yes 有, Date of Bankruptcy 破產日期 _____			
+Please provide the Certificate of Discharge 請提供破產解除證明書			
G5. Is the Client employed by or related to someone who is employed by ESFL? 客戶是否艾德證券期貨的僱員或任何其僱員的親屬?			
<input type="checkbox"/> No 否			
<input type="checkbox"/> Yes 是, Name and Relationship of ESFL's employee 艾德證券期貨僱員名稱及關係 _____			
G6. Is the Client related to any ESFL's client(s)? 客戶是否與任何艾德證券期貨客戶有關連?			
<input type="checkbox"/> No 否			
<input type="checkbox"/> Yes 是, Name of ESFL's client 艾德證券期貨客戶名稱 _____			

G7. Is the Client a director or an employee of the Securities and Futures Commission ("SFC") licensed entity, or an accredited person of any exchange participant of the Hong Kong Exchange or any licensed or registered person of SFC?

客戶是否香港交易所之交易所參與者或證監會之持牌人或註冊人之董事、僱員或認可人士?

No 否

Yes 是, the Client is a director or an employee or an accredited person of the following exchange participant of the Hong Kong Exchange or licensed or registered person of the Securities and Futures Commission: (Please provide details) 客戶為以下香港交易所之交易所參與者或證監會之持牌人或註冊人之董事、僱員或認可人士: (請詳述)

+Please provide employer's consent letter 請提供僱主同意書

G8. Are you U.S. citizenship or lawful permanent resident status? 客戶是否擁有美國公民或美國合法永久居民身份?

No 否

Yes 是, Taxpayer Identification No. (T.I.N) 稅務編號: _____

G9. Are you a US resident for tax purpose? 就稅務而言, 您是否美國居民?

No 否

Yes 是, Taxpayer Identification No. (T.I.N) 稅務編號: _____

G10. Is the Client a "Politically Exposed Person (PEP)" or related to PEP as defined by the Hong Kong Law?

客戶是否香港法律定義下的“政治公眾人物 (PEP)”或與政治公眾人物有密切聯繫?

No 否

Yes 是, Position/ Name of PEP 職位/政治公眾人物名稱 _____

G11. I hereby confirm and agree that my "Investment Objective" and "Risk Tolerance Level" are consistent with Primary Account Holder.

本人確認並同意本人之「投資目標」及「風險承受能力」與主要賬戶持有人相同。

Yes 是

No 否

H. Settlement Account Information 結算賬戶資料

The Client hereby instructs and authorizes Eddid Securities and Futures Limited("ESFL") to deposit all payments payable to the Client into the following bank account. ESFL will comply with the requirements of the "Trading Agreement" and to the extent as soon as reasonably practicable, deposit the payments into the following Settlement Account for the Client as soon as possible. ESFL shall not be held liable for any loss, expenses or damages suffered by the Client as a result of any delay in depositing such payments caused by any reason whatsoever.

客戶謹此指示及授權艾德證券期貨有限公司(「艾德證券期貨」)將所有應付客戶之款項存入下列之銀行賬戶。艾德證券期貨將在符合《業務條款及細則》之規定及於合理可行的情況下, 盡快為客戶把款項存入以下之結算賬戶, 艾德證券期貨不會對基於任何原因而延遲存入該款項而令客戶蒙受的任何損失、開支或賠償承擔任何法律責任。

Please provide supporting bank proof, and NO third-party payment

請提供銀行證明, 並不接受第三者支付

Currency 貨幣	Name of Bank 銀行名稱	Account No 賬戶號碼	Name of Account Holder 賬戶持有人名稱
HKD 港幣			
USD 美元			
CNY 人民幣			

I. Related Margin Financing Account(s) 相關保證金融資賬戶 (For Securities Account (Margin) only) 只適用於證券賬戶(保證金)

I1. Does the spouse of the Client maintain any related margin account of Eddid Securities and Futures Limited("ESFL")?

客戶的配偶是否持有艾德證券期貨任何相關的保證金賬戶?

No 否 Yes 是 (please specify)(請詳述): Name of Account Holder. 賬戶持有人姓名: _____

Account No. 賬戶號碼: _____

12. Does the Client alone or jointly with his/her spouse control 35% or more of the voting rights of another margin client of ESFL?

客戶及/或其配偶是否單獨或共同控制艾德證券期貨之其他保證金賬戶 35%或以上之表決權?

No 否 Yes 是 (please specify)(請詳述): Name of Account Holder. 賬戶持有人姓名: _____

Account No. 賬戶號碼: _____

13. Does the Client have a margin account opened by a member of the same group of companies of the Client?

客戶是否有以客戶的同一集團公司旗下之公司開立保證金賬戶?

No 否 Yes 是 (please specify)(請詳述): Name of Account Holder. 賬戶持有人姓名: _____

Account No. 賬戶號碼: _____

J. Referral and Promotion 邀請及推廣

How did you hear about ESFL? (Check all apply) 您透過哪些渠道認識艾德證券期貨? (選擇所有適用)

- Online Ad 網上廣告 Seminar 講座 Friend 朋友 Search Engine 搜索引擎
 Newspaper 報紙 Magazine 雜誌 Forum 論壇
 Others 其他 _____

Promotional Code 推廣碼 _____

Referral Code 邀請碼 _____

K. DECLARATION 聲明

K1. Identity Declaration 身份聲明

K1.1. Is the Client the Ultimate Beneficial Owner of the account? 客戶是否賬戶的最終實益擁有人?

No 否, please name the Ultimate Beneficial Owner 最終實益擁有人名為 _____

Yes 是

K1.2. Is the Client ultimately responsible for giving trading orders? 客戶是否最終負責下單的人?

No 否, please name the ultimately responsible for trading 最終負責下單人為 _____

(Trading Authorization form is required 必需提交交易授權書)

Yes 是

K2. Common Reporting Standard ("CRS") Declaration 共同匯報標準聲明

According to the Inland Revenue Ordinance (Cap. 112) ("IRO") of Hong Kong, Eddid Securities and Futures Limited as a financial institution defined under the IRO is required and authorized to collect and/or report certain information about the Account Holder's tax residence and the account information for the purpose of automatic exchange of financial account information. The information requested and collected in this form is intended to be consistent with the law requirements in Hong Kong. 根據香港《稅務條例》(第 112 章), 艾德證券期貨有限公司作為《稅務條例》定義下的財務機構是被要求及授權收集及/或匯報若干關於賬戶持有人的納稅居住地和賬戶資料作自動交換財務賬戶資料之用。此表格要求及收集的資料旨在與香港法例要求的一致。

Important Notes 重要提示:

- This is a self-certification provided by account applicant to Eddid Securities and Futures Limited ("ESFL") for the purpose of automatic exchange of financial account information. The information collected by ESFL may be transmitted by ESFL to the Inland Revenue Department for transfer to the tax authority of another jurisdiction(s). 這是由賬戶申請人向艾德證券期貨有限公司(「艾德證券期貨」)提供的自我證明, 以作自動交換財務賬戶資料用途。艾德證券期貨可把收集所得的資料交給稅務局, 稅務局會將資料轉交到另一稅務管轄區的稅務當局。
- You should report all changes in your tax residency status to Eddid Securities and Futures Limited. 如閣下的稅務居民身分有所改變, 應盡快將所有變更通知艾德證券期貨。

Jurisdiction of Residence and Taxpayer identification Numbers ("TINs") or their functional equivalents ("TIN equivalents")
 居留司法管轄區及稅務編號或具有等同功能的辨識編號。

Please select your Jurisdiction(s) of residence for tax purpose (you may provide more than one)

請選擇您就稅務用途的居留司法管轄區(您可提供多於一個)

If the account holder is a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number (HKID).

如賬戶持有人的香港稅務居民, 稅務編號是賬戶持有人的香港身份證號碼。

If a TIN is unavailable, provide the appropriate reason A, B or C 如沒有提供稅務編號, 必須填寫合適的理由:

Reason A - The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.

理由 A - 賬戶持有人的居留司法管轄區並沒有向其居民發出稅務編號。

Reason B - The account holder is unable to obtain a TIN. Explain why the account holder is unable to obtain a TIN if you have selected this reason.

理由 B - 賬戶持有人不能取得稅務編號。如選取這一理由，解釋賬戶持有人不能取得稅務編號的原因。

Reason C - TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.

理由 C - 賬戶持有人毋須提供稅務編號。居留司法管轄區的主管機關不需要賬戶持有人披露稅務編號。

Jurisdiction of Residence 居留司法管轄區	TIN 稅務編號	#Enter Reason A, B or C if no TIN is available 如沒有提供稅務編號， 填寫理由 A、B 或 C	Explain why the account holder is unable to obtain a TIN if you have selected Reason B 如選取理由 B，解釋賬戶持有人不 能取得稅務編號的原因
(1)			
(2)			
(3)			
(4)			
(5)			

DECLARATION 聲明

I declare that 本人聲明:-

- undertake to advise ESFL of any change in circumstances which affects the tax residency status of the individual identified in this form or causes the information contained herein to become incorrect, and to provide ESFL with a suitably updated self-certification form within 30 days of such change in circumstances;
承諾，如情況有所改變，以致影響本表格所述的個人的稅務居民身分，或引致本表格所載的資料不正確，本人會通知艾德證券期貨，並會在情況發生改變後 30 日內，向艾德證券期貨提交一份已適當更新的自我證明表格。
- (a) the information contained in this form is collected and may be kept by ESFL for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by the financial institution to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112); and
已知悉及同意，艾德證券期貨可根據《稅務條例》(第 112 章)有關交換財務賬戶資料的法律條文，(a) 收集本表格所載資料並可備存作自動交換財務賬戶資料用途及 (b) 把該等資料和關於賬戶持有人的及任何須申報賬戶的資料向香港特別行政區政府稅務局申報，從而把資料轉交到賬戶持有人的居留司法管轄區的稅務當局；及
- all statement made in this declaration are to the best of my knowledge and belief, true, correct and complete.
此聲明內的所有陳述是根據本人所知所信，是真實、正確及完整。

I accept the above declaration
本人接受上述聲明

WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. HK\$10,000). 警告：根據《稅務條例》第80(2E)條，如任何人在作出自我證明時，在明知一項陳述在要項上屬具誤導性、虛假或不正確，或罔顧一項陳述是否在要項上屬具誤導性、虛假或不正確下，作出該項陳述，即屬犯罪。一經定罪，可處第3級（即\$10,000）罰款。

K3. Use of Personal Information Declaration 個人資料之使用聲明

I am hereby informed that Eddid Securities and Futures Limited ("ESFL") intends to use or transfer my personal data to its associated companies and/or its business partners in direct marketing of: (i) Financial services and investment products; (ii) Related promotional schemes; (iii) Financial and investment advices; or (iv) promotional and marketing events of ESFL for the aforesaid services or products. Such personal data includes my name, contact details, financial background and statistical data which are provided to ESFL under this Account Opening Form or obtained by ESFL from time to time. I understand that I may, at any time, require ESFL to cease to use or transfer my personal data for direct marketing through such channel prescribed by ESFL in accordance with the ESFL's PERSONAL INFORMATION COLLECTION STATEMENT AND PRIVACY POLICY STATEMENT.

本人現獲告知艾德證券期貨有限公司(「艾德證券期貨」)擬使用或轉移本人之個人資料至其聯營公司及/或其合作夥伴作直接促銷：(i)金融服務和投資產品；(ii)相關推廣計劃；(iii)金融和投資建議；或(iv)艾德證券期貨就上述服務或產品之業務推廣和宣傳活動。該等個人資料包括本人在本開戶表格中向艾德證券期貨提供的及艾德證券期貨不時獲得的姓名、聯絡詳情、財務背景及統計資料等。本人明白本人可以根據艾德證券期貨的收集個人資料聲明及私隱政策聲明隨時通過艾德證券期貨指定的渠道要求艾德證券期貨停止在直接促銷中使用或轉移本人的個人資料。

I object to the use of or transfer my personal data by ESFL and its associated companies and/or its business partners in direct marketing. And I understand that ESFL may, as a result, not be able to process my account application.

本人不同意艾德證券期貨及其關聯公司根據以上安排把本人之個人資料轉移及/或作直接促銷，及明白艾德證券期貨有可能因此未能處理本人之申請。

K4. Client consent to the purposes of use and transfer personal data to the SEHK and/or SFC**「轉移客戶個人資料給香港聯合交易所及/或證券及期貨事務監察委員會之『客戶同意書』」**

You acknowledge and agree that ESFL may collect, store, process, use, disclose and transfer personal data relating to you (including your CID and BCAN(s)) as required for us to provide services to you in relation to securities listed or traded on the Stock Exchange of Hong Kong (SEHK) and for complying with the rules and requirements of SEHK and the Securities and Futures Commission (SFC) in effect from time to time. Without limiting the foregoing, this includes -

閣下明白並同意，我們艾德證券期貨有限公司為了向閣下提供與在香港聯合交易所（聯交所）上市或買賣的證券相關的服務，以及為了遵守不時生效的聯交所與證券及期貨事務監察委員會（證監會）的規則和規定，我們可收集、儲存、處理、使用、披露及轉移與閣下有關的個人資料（包括閣下的客戶識別信息及券商客戶編碼）。在不限制以上的內容的前提下，當中包括—

(a) disclosing and transferring your personal data (including CID and BCAN(s)) to SEHK and/or the SFC in accordance with the rules and requirements of SEHK and the SFC in effect from time to time

根據不時生效的聯交所及證監會規則和規定，向聯交所及 / 或證監會披露及轉移閣下的個人資料（包括客戶識別信息及券商客戶編碼）；

(b) allowing SEHK to: (i) collect, store, process and use your personal data (including CID and BCAN(s)) for market surveillance and monitoring purposes and enforcement of the Rules of the Exchange of SEHK; and (ii) disclose and transfer such information to the relevant regulators and law enforcement agencies in Hong Kong (including, but not limited to, the SFC) so as to facilitate the performance of their statutory functions with respect to the Hong Kong financial markets; and (iii) use such information for conducting analysis for the purposes of market oversight; and

允許聯交所：(i)收集、儲存、處理及使用閣下的個人資料（包括客戶識別信息及券商客戶編碼），以便監察和監管市場及執行《聯交所規則》；(ii)向香港相關監管機構和執法機構（包括但不限於證監會）披露及轉移有關資料，以便他們就香港金融市場履行其法定職能；及 (iii)為監察市場目的而使用有關資料進行分析；及

(c) allowing the SFC to: (i) collect, store, process and use your personal data (including CID and BCAN(s)) for the performance of its statutory functions including monitoring, surveillance and enforcement functions with respect to the Hong Kong financial markets; and (ii) disclose and transfer such information to relevant regulators and law enforcement agencies in Hong Kong in accordance with applicable laws or regulatory requirements.

允許證監會：(i)收集、儲存、處理及使用閣下的個人資料（包括客戶識別信息及券商客戶編碼），以便其履行法定職能，包括對香港金融市場的監管、監察及執法職能；及 (ii)根據適用法例或監管規定向香港相關監管機構和執法機構披露及轉移有關資料。

(d) providing BCAN to Hong Kong Securities Clearing Company Limited (HKSCC) allowing HKSCC to: (i) retrieve from SEHK (which is allowed to disclose and transfer to HKSCC), process and store your CID and transfer your CID to the issuer's share registrar to enable HKSCC and/ or the issuer's share registrar to verify that you have not made any duplicate applications for the relevant share subscription and to facilitate IPO balloting and IPO settlement; and (ii) process and store your CID and transfer your CID to the issuer, the issuer's share registrar, the SFC, SEHK and any other party involved in the IPO for the purposes of processing your application for the relevant share subscription or any other purpose set out in the IPO issuer's prospectus.

允許向香港中央結算有限公司(香港結算)提供券商客戶編碼以允許香港結算：(i)從聯交所取得、處理及儲存允許披露及轉移給香港結算屬於閣下的客戶識別資訊，及向發行人的股份過戶登記處轉移閣下的客戶識別信息，以便核實閣下未就相關股份認購進行重複申請，以及便利首次公開招股抽籤及首次公開招股結算程式；及(ii)處理及儲存閣下的客戶識別信息，及向發行人、發行人的股份過戶登記處、證監會、聯交所及其他公開招股的有關各方轉移本人/吾等的客戶識別信息，以便處理閣下對有關股份認購的申請，或為載於公開招股發行人的招股章程的任何其他目的。

You also agree that despite any subsequent purported withdrawal of consent by you, your personal data may continue to be stored, processed, used, disclosed or transferred for the above purposes after such purported withdrawal of consent.

閣下亦同意，即使閣下其後宣稱撤回同意，我們在閣下宣稱撤回同意後，仍可繼續儲存、處理、使用、披露或轉移閣下的個人資料以作上述用途。

Failure to provide us with your personal data or consent as described above may mean that we will not, or will no longer be able to, as the case may be, carry out your trading instructions or provide you with securities related services (other than to sell, transfer out or withdraw your existing holdings of securities, if any)

閣下如未能向我們提供個人資料或上述同意，可能意味著我們不會或不能夠再（視情況而定）執行閣下的交易指示或向閣下提供證券相關服務，惟出售、轉出或提取閣下現有的證券持倉（如有）除外。

Note: The terms "BCAN" and "CID" used in this clause shall bear the meanings as defined in paragraph 5.6 of the Code of Conduct for Persons Licensed by or Registered with the Securities and Futures Commission."

備註：本條文所述的“券商客戶編碼”及“客戶識別信息”具有《證券及期貨事務監察委員會持牌人或註冊人操守準則》第5.6段所界定的含義。

I agree to the above Client Consent. 本人同意上述『客戶同意書』。

I disagree to the above Client Consent. 本人不同意上述『客戶同意書』。

If ESFL cannot obtain your consent herein, it may mean that ESFL cannot (as the case may be) execute your order or provide you with securities related services. Therefore, ESFL is not able to accept your securities account open application.

閣下如未能向我們提供上述同意，可能意味著我們不能（視情況而定）執行閣下的交易指示或向閣下提供證券相關服務。因此我們未能接受您的證券賬戶開戶申請。

K5. Client Declaration 客戶聲明

1. The Client agrees to open accounts listed in Section A2 herein ("Account") with ESFL and is bounded by the Account Opening Form and the relevant Sections of the "Trading Agreement" and all the provisions of the rules and guidelines promulgated by ESFL from time to time (collectively referred to as "All Those Terms"). The Client has already been advised by ESFL to seek independent legal advice for All Those Terms. The Client agrees that ESFL can request the Client to sign any documents as required under the above said terms and conditions. Before opening the Account with ESFL, the Client has fully read and understood the contents of All Those Terms and the Client agrees, accepts and confirms all its provisions and agrees and accepts to be bound by All Those Terms.

客戶同意遵守開戶表及《業務條款及細則》之相關章數的所有條款及條件及其他由艾德證券期貨不時發出之守則及指引內之所有條款及條件(統稱「該等條款」)開立此開戶表格 A2 章節所列出的賬戶(「賬戶」)。艾德證券期貨已經建議客戶對上述之該等條款尋求獨立法律意見。客戶同意艾德證券期貨有權要求客戶就上述有關條款及規則的要求簽署相關文件。客戶於艾德證券期貨開立賬戶前已經細閱及完全明白所有該等條款之內容, 客戶並同意、接受及確認該等條款內所有條款及條件, 並同意及接納受該等條款的約束。

2. The Client hereby acknowledges and confirms that the Client has authorized ESFL to deal with the Client's moneys, securities, the Collateral and the Margin upon and in accordance with the relevant part of the "Trading Agreement" (the "Relevant Standing Authority"). The Client acknowledges and confirms that the contents and effects of the Relevant Standing Authority have been explained to the Client, and the Client fully understands: - (i) the contents and effects of the Relevant Standing Authority; and (ii) The Client hereby authorizes ESFL to have an absolute discretion to renew the relevant authorities. The Standing Authority (Client Securities) is applicable to the Securities Margin Accounts Clients while it is not applicable to cash clients who only hold a securities cash account. The Standing Authority (Client Money) is applicable to the Clients with multiple accounts while it is not applicable to clients holding one account only.

客戶謹此知悉及確認, 已根據《業務條款及細則》內之適用部分(「適常用設授權」), 授權艾德證券期貨處置客戶款項、證券、抵押品及保證金。客戶知悉及確認適常用設授權的內容及作用已獲清楚解釋, 而客戶亦完全明白(i)適常用設授權的內容及作用; 及(ii)客戶謹此授權艾德證券期貨有絕對的酌情權延續有關授權。常設授權(客戶證券)適用於證券保證金賬戶的客戶, 並不適用於只持有證券現金戶口的客戶。常設授權(客戶款項)適用於持有多於一個戶口的客戶, 並不適用於只持有單一戶口的客戶。

3. The Client acknowledges and confirms Standing Authority is required to be renewed annually. The Client has authorized ESFL to automatically renew the aforesaid for the Client annually. The Client has the choice to reject the renewal. If no written objection is received by mail from the Client, it means the Client accepts the extension and to be bounded by the renewed Standing Authority agreement.

客戶知悉及確認常設授權每年需續約一次。客戶已授權艾德證券期貨每年替客戶自動延續上述授權, 客戶可提出反對。如沒有收到客戶書面反對, 則表示客戶同意及接受延長常設授權並繼續受其約束。

4. The Client hereby instructs and authorizes ESFL to accept moneys or funds paid and/or cheques drawn from any bank account(s) solely held by the Client and/or jointly held by the Client and any person(s) and to accept the Client's securities and/or the collateral (either in its sole name or in the joint name with any person(s)). The Client shall be responsible to ensure that the holder of bank account, cheque issuer, owner of securities and/or the owner of relevant collateral of deposit of funds are consistent with the name of the Client's account and declares that the Client is the owner of the said cash, securities and/or relevant collateral. ESFL shall not be responsible for making any verification. ESFL shall have an absolute discretion to determine whether or not to accept the deposit of such moneys, funds, cheques drawn from such bank account(s), Internet Depositing Money, securities, collateral and/or relevant instructions, and under any circumstances ESFL shall not be held liable for any loss, damages, expenses, loss of profit whatsoever suffered and/or incurred by the Client arising out (directly or indirectly) of or in connection with ESFL's accepting or not accepting such deposit of moneys, funds, cheques drawn from such bank account(s), Internet Depositing Money, securities, collateral and/or relevant instructions.

客戶特此指示及授權艾德證券期貨接受客戶由其單獨持有及/或由客戶與其他人士共同持有之銀行賬戶所支付之金錢或資金及/或發出的支票存款及接受客戶之證券及/或抵押品(以其個人名義或與其他人士共同名義)。客戶須確保存入之款項之銀行賬戶名稱、支票發出人、證券擁有人及/或相關抵押品擁有人與客戶之賬戶名稱相符, 並聲明客戶擁有該款項及證券及/或相關抵押品之擁有權, 艾德證券期貨無需另行查證。艾德證券期貨有絕對酌情權決定是否接納由該銀行賬戶存入之該等金錢、資金、發出的支票存款、網上存款、證券、抵押品及/或有關指示, 並在任何情況下艾德證券期貨無須因為其接納或不接納由該銀行賬戶存入之該等金錢、資金、發出的支票存款、網上存款、證券、抵押品及/或有關指示而(直接或間接)引致客戶所蒙受的任何損失、損害賠償、開支或失去任何利潤而承擔責任。

5. The Joint Clients have authorized ESFL to accept the instructions of any one or all of the Joint Clients ("Such Person") to ESFL (including but not limited to verbal instructions and written instructions). Such Person shall have the authority to handle the operation of the Account and exercise all rights, powers and discretion pursuant to All Those Terms on behalf of any of the Joint Client. ESFL may follow the Instructions given by Such Person and shall not be required to give notice to, or obtain authorization from, any one or all of the Joint Clients in respect of such Instructions. ESFL shall have an absolute discretion to determine whether or not to accept the relevant Instructions and ESFL shall not be liable as such for any loss whatsoever incurred as a result of accepting or not accepting the instructions. Any act, conduct, Instructions, directions, decisions and/or authorizations of any of the Joint Clients shall be jointly and severally binding on the other Joint Clients.

聯名客戶已授權艾德證券期貨可接受聯名客戶的其中一位人士(「該人士」)個別向艾德證券期貨發出任何指示(包括但不

艾德證券期貨有限公司 Eddid Securities and Futures Limited

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限於口頭指示及書面指示)。該人士有權處理賬戶內的運作及代表其他聯名客戶行使該等條款下的所有權利、權力及酌情權。艾德證券期貨可根據該人士發出的指示行事，而不需向其他聯名客戶或所有聯名客戶發出有關指示的通知，或取得有關指示的授權書。艾德證券期貨有絕對酌情權決定是否接納有關指示，以及有關接納與否而導致之後果，艾德證券期貨亦無須就此而負上任何責任。任何聯名客戶的任何行動、行為、指示、方向、決定及/或授權，對其他聯名客戶均構成個別及共同的約束力。

6. The Client or its Authorized Person must use the designated telephone number provided by ESFL from time to time (the "Designated Telephone") for any instructions. For the avoidance of doubt, if (i) any Instructions given by telephone other than the Designated Telephone, or (ii) any Instructions given by other telephone numbers of ESFL or any Instructions given by messages left at the voicemails of other telephone numbers of ESFL or any personal mobile phone numbers of the employees or agents of ESFL (collectively referred to as "Non-designated Telephone Number Instructions") shall not be accepted by ESFL. Notwithstanding the above restrictions, ESFL has an absolute discretion to determine whether or not to accept the Non-designated Telephone Number Instructions and ESFL shall not be liable as such for any loss whatsoever incurred as a result of accepting such instructions.

客戶或其獲授權人士必須使用艾德證券期貨不時提供的指定電話號碼("指定電話號碼")進行任何指示。為清楚起見，如客戶(i)透過電話但並非使用指定電話號碼給予的任何指示；或(ii)透過艾德證券期貨的其他電話號碼給予的任何指示或艾德證券期貨的其他電話號碼的留言信箱留下口訊所給予的任何指示或透過艾德證券期貨的僱員或代理人的個人手提電話號碼給予的任何指示(統稱「非指定電話號碼指示」)，艾德證券期貨均不接受。即使有以上之規限，艾德證券期貨有絕對酌情權決定是否接納非指定電話號碼指示，以及有關接納與否而導致之任何損失，艾德證券期貨亦無須就此而負上任何責任。

7. ESFL may post the specifications and information relating to the relevant securities (including but not limited to the margin requirement to open any transaction) on www.eddid.com.hk from time to time and the same shall be binding on the Client. ESFL shall not be held liable for any error, loss, expenses, damages or loss of profit suffered by the Client as a result of any omission of such specifications and information or delay in notifying such specifications and information. The Client hereby confirms that the Client has read and fully understood such specifications and information in a language of the Client's choice. The Client also confirms that the Client has been invited to ask questions in writing and take independent advice.

艾德證券期貨不時於www.eddid.com.hk內刊載有關證券期貨之規格及資料(包括但不限於任何交易的保證金要求)，該等規格及資料對客戶有約束力。艾德證券期貨不須對該等規格及資料的遺漏或延誤通知令客戶蒙受的任何錯誤、損失、開支、賠償或失去任何利潤而負上任何責任。客戶現確認客戶已詳細閱讀按照客戶選擇的語言而提供之該等規格及資料。客戶亦確認已獲邀請透過書面途徑提出問題及尋求獨立意見。

8. The Client declares and confirms that all the information provided in this Account Opening Form is true, complete and correct and authorizes ESFL to confirm this from any source and the Client shall immediately inform ESFL of any change of the aforesaid information.

客戶聲明及確認所有填寫於本開戶表的資料均屬真實，完全及正確，並授權艾德證券期貨可向任何方面查證。客戶承諾，如上述資料有任何變更，客戶必須立刻通知艾德證券期貨。

9. The Client acknowledges and confirms that the following Licensed Person has already explained the Form herewith including but not limited to the Risk Disclosure Statement as set out in the "Trading Agreement" in a language of the Client's choice. The Client hereby fully understood and accepted all the aforesaid contents and provisions and has also already sought independent legal advice.

客戶知悉及確認艾德證券期貨已根據客戶所選擇的語言向其解釋此表格包括但不限於《業務條款及細則》內的風險披露聲明。客戶已完全明白及接受所有內容和細則，並已尋求獨立法律意見。

10. The Client authorizes ESFL on behalf of the Client to take any necessary action to open any account(s) with any relevant financial company(ies)/institution(s) at the absolute discretion of ESFL.

客戶已授權艾德證券期貨全權代表客戶並有絕對酌情權在相關金融機構開設任何賬戶及辦理相關手續。

11. The Client authorizes ESFL to pass the order on behalf of the Client to any relevant financial company(ies)/institution(s) and the Client is aware and confirms ESFL does NOT involve in any Client's order. The Client acknowledges and accepts the risks in the event that the relevant financial company(ies)/institution(s) defaults or fails to fulfill their roles; or the relevant financial company(ies)/institution(s) bankrupts or ceases business, the Client may not be able to complete the transaction or lose money or holdings. In any condition, ESFL will NOT be responsible for any losses or damages incurred or suffered as a result thereof.

客戶已授權艾德證券期貨把客戶的交易指示轉遞予任何相關金融公司/機構，並知悉及確認艾德證券期貨沒有參與任何客戶的交易指示。客戶知悉及同意承擔因相關公司/金融機構違約或停止履行其責任，或因相關金融公司/機構破產或停業，而導致客戶無法完成交易或損失金錢或所持有的風險。在任何情況下，艾德證券期貨均不須對上述所引致的損失或損害承擔任何責任。

12. The Client agrees and accepts ESFL to set-off on a cash rolling balance basis, any amount receivable from, and payable to, the account where such amount arise from the purchase and sale of securities by the Client on a cash-against-delivery basis, the Client now authorizes ESFL to set-off such amounts against each other in such account; and dispose of securities held for the Client for the purpose of settling any of the amounts payable by the Client to ESFL.

客戶同意及接受艾德證券期貨以現金結餘滾存方式就賬戶內將應從賬戶收取的款項與應向該賬戶支付的款項互相抵銷，

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而該等款項是因該賬戶以貨銀兩訖形式買賣證券所產生的，現客戶授權艾德證券期貨就該賬戶內該等款項互相抵銷；及為清償客戶應支付予艾德證券期貨的款項而處置為客戶持有的證券。

13. Regarding the Account Type in the Section A2 herein, the Client understands and confirms any additional account type can be activated through the telephone or other electronic means with ESFL; the Client agrees and accepts to be bounded by All Those Terms.

有關於上述 A2 章節中的賬戶類別，客戶明白及確認日後可透過電話或電子途徑通知艾德證券期貨加開任何其他類別賬戶。客戶亦須同意及接受賬戶開啟後的《該等條款》。

14. The Client agrees that margin requirement to open any transaction is prescribed by ESFL from time to time and the Client should pay attention to the announcement/notice promulgated on www.eddid.com.hk from time to time.

客戶同意進行任何交易的保證金要求將會由艾德證券期貨不時訂立，客戶應不時留意於 www.eddid.com.hk 刊載的有關公告/通知。

15. The Client agrees that if the deposit/withdraw currency is other than the account denominated currency, the deposit/withdraw will be converted into the account denominated currency according to the applicable exchange rate available at ESFL.

客戶同意如客戶以賬戶基準貨幣以外的貨幣存款/提款，艾德證券期貨會以當時適用的匯率將存入/提取的外幣兌換成該賬戶之基準貨幣。

16. The Client agrees that according to the FATCA ("Foreign Account Tax Compliance Act"), ESFL must identify U.S. account holders as well as entities under U.S. control (i.e. U.S. persons having a substantial ownership interest in the entity) and provide the Internal Revenue Service ("IRS") with information on their assets, income payments and trade flows during the fiscal year.

客戶同意根據「海外賬戶稅收遵從法」("FATCA")，艾德證券期貨必須識別出美國賬戶持有人及由美國控制的實體(即持有實體重大擁有權權益的美國人士)，然後向稅務局(IRS)提供其於該財政年度內的資產、收入款項及交易流資料。

17. The Client agrees that according to the Inland Revenue Ordinance (Cap. 112) ("IRO") of Hong Kong, ESFL as a financial institution defined under the IRO is required and authorized to collect and/or report certain information about the Account Holder's tax residence and the account information for the purpose of automatic exchange of financial account information. The information requested and collected in this form is intended to be consistent with the law requirements in Hong Kong.

客戶同意根據香港《稅務條例》(第 112 章)，艾德證券期貨作為《稅務條例》定義下的財務機構是被要求及授權收集及/或匯報若干關於賬戶持有人的納稅居住地和賬戶資料作自動交換財務賬戶資料之用。此表格要求及收集的資料旨在與香港法例要求的一致。

18. The Chinese version of All Those Terms shall prevail over other translated versions which is for reference only.

該等條款以中文版本為準，如有任何對該等條款的翻譯文本僅作為參考目的使用。

19. I (the Client) hereby declare that after signing in the signature column herein below by myself (the Client), indicated that I (the Client) have already fully read, confirmed, agreed, accepted and understood All Those Terms (including all the relevant contents and provisions of this Account Opening Form, the Risk Disclosure Statements, "Terms and Conditions for "Trading Account"), I (the Client) have already sought independent legal advice and have also understood all the contents and provisions and have no questions to ask.

本人(客戶)茲聲明，當本人(客戶)於下列簽署欄內簽署後，即表示本人(客戶)已完全細閱、確認、同意、接受及明白該等條款(包括本開戶表格內所有內容、《風險披露聲明》及《業務條款及細則》內的所有條款)。關於上述所有內容和細則，本人(客戶)已尋求獨立法律意見，並明白所有內容和細則及沒有任何疑問。

Primary Account Holder

主要賬戶持有人

Joint Account Holder

聯名賬戶持有人

Signature

簽署

Name

姓名

Sign Date

簽署日期

Signature

簽署

Name

姓名

Sign Date

簽署日期

WITNESS 見證 (NOT applicable for MOBILE APP IDENTITY VERIFICATION OR Electronic Signature 不適用於手機應用程式身份驗證或電子簽名)

I, the undersign, have verified the identity and witnessed the signature(s) of the applicant(s) on this Account Opening Form. 本人, 下簽署人, 特此確認本人已核證本開戶表申請人的身份及見證其簽署。

Name of Witness

見證人名稱 _____

Signature of Witness

見證人簽署 _____

Qualification and Registration No.

專業資格及

註冊號碼 _____

Sign Date

簽署日期 _____

RISK DISCLOSURE 風險聲明

(to be Completed by ESFL Staff/ Duly authorized representative 此欄由艾德證券期貨職員/正式授權代表填寫)

I, the undersign, have confirmed that I have 本人, 下簽署人, 特此確認本人已:

- provided the Supplemental Documents (including the Risk Disclosure Statement and the Personal Information Collection Statement where applicable) and clearly explained the Risk Disclosure Statements in a language of the Client's choice; and 提供補充文件 (包括風險披露聲明及個人資料收集聲明, 如適用) 及根據客戶所選擇的語言向其解釋風險披露聲明; 及
- invited and suggested the Client to read and ask questions and to seek independent legal advice if the Client wishes; 邀請及建議客戶細閱風險披露聲明, 及就其內容和細則提出問題及尋求獨立法律意見(如客戶有此意願);

(If the client submits this account opening form through the MOBILE APP IDENTITY VERIFICATION OR ELECTRONIC SIGNATURE, Risk Disclosure has been completed through the APP. 如客戶透過手機應用程式身份驗證或電子簽名提交此開戶表, 風險聲明已於手機應用程式內提供。)

Staff/ Duly authorized representative

職員/正式授權代表

Staff/ Duly authorized representative

職員/正式授權代表

Name

名稱 _____

Signature

簽署 _____

Position and CE No.

職位及中央編號 _____

Sign Date

簽署日期 _____